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FINANCIAL SERVICES TRENDS



September 2016



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FEATURED ARTICLE



SEC Adopts Amendments to Investment Adviser Act Rules

By Charles V. Abraham

Background:

On August 25, 2016, the Securities and Exchange Commission (the "SEC" or the "Commission") adopted amendments to various rules under the Investment Advisers Act of 1940 (the "Act"). The amendments will be effective 60 days after the date of publication in the Federal Register, but investment advisers are expected to comply with the amendments after October 1, 2017.

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Symposium: Digital Finance and
Cybersecurity in a New Era**

New York, NY
October 6, 2016

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